Life Cycle Analysis of Advanced Algal Systems



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WBS #1.3.5.204

2023 BETO Peer Review April 4, 2023





Project Overview

Guiding development of sustainable algal biofuels and bioproducts

Goal Evaluate the potential for sustainable scale up of algae production systems, algal biofuels, and algal bioproducts.

Inform decisions with detailed LCA and strategic case studies

 Provide LCA results and datasets for key algae production and conversion pathways

- Benchmark the state of technologies
- Evaluate alternatives and advancements

Impact

BETO and stakeholders can incorporate greenhouse gas and sustainability considerations in commercialization and R&D decisions.

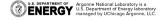
- Rigorous and detailed LCA addressing critical issues
 - saline algae systems
 - o diverse CO₂ sources
 - algal bioproducts
 - o integration with wastewater/manure management
- Harmonization amongst BETO and FECM analysis efforts
- Provide LCA tool to bioeconomy and LCA community

Relevance

Addresses BETO goals for increasing the supply of sustainable algae and reducing the resource intensity of production, including system integration and resource recycling.

Sustainable algae supports SAF goals.

- Consistent, transparent LCA results
- Benchmarked against other analyses/studies
- Rigorous, reliable, and timely responses to key questions from BETO and its stakeholders
- Peer-reviewed publications and models





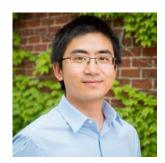
Project Team with diverse backgrounds to address varied aspects of algal systems



Troy Hawkins Project Lead



Jingyi Zhang Energy Systems Analyst



Hao Cai SCSA Lead



Longwen Ou Energy Systems Analyst



Ulises Gracida Energy Systems Analyst



Udayan Singh Post-Doctoral Researcher



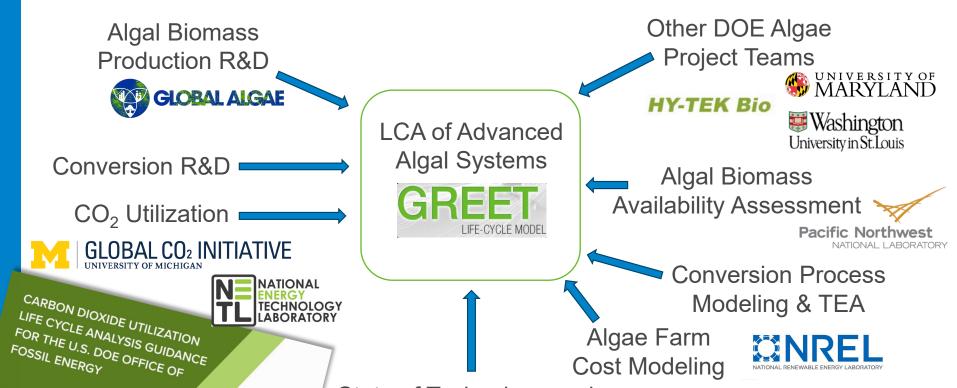
Sudhanya Banerjee
Post-Doctoral Researcher
(2019-2022) Argonne

Tasks aligned with BETO's goals

And focused on key questions for understanding life cycle metrics for algal systems

1. LCA of saline algae production systems	Provide life cycle energy and environmental results for saline strain algae production and to compare with freshwater algae production systems. Adding detailed analysis of saline pond operations, brine management, and interactions between salinity and conversion.
2. Impacts of CO ₂ sources on algae-based system	Evaluate the life cycle energy and environmental implications of options for CO ₂ sources for algae production, including coal and natural gas combustion; high-purity sources such as steam methane reforming, biogas, and fermentation; and direct air capture.
3. LCA of algae-based bioproduct pathways	Understand the benefits and tradeoffs of pathways for producing valuable products from algae through comparison with conventional pathways. High-value products such as polyurethane improve algae economics. Bulk products, e.g. animal feed, make use of algal protein.
4. Integration of algae cultivation with wastewater and manure management	Understand the life cycle energy and environmental effects of integrating algae production with wastewater treatment (WWT) and manure management systems.
5. Harmonization of Algal Systems TEA, LCA, & Resource Assessment	Coordinate and harmonize technoeconomic analysis, LCA, and resource assessment activities within BETO's Advanced Algal Systems program.

Interacting with other relevant project teams to harmonize and leverage efforts





State of Technology and Design Case Benchmarking





Management plan & implementation strategy

1. Milestones track progress

Driving critical analysis

2. Peer-reviewed publications

Disseminate key results and document data

3. Annual GREET releases

- Transparent, publicly-available models and data, distributed broadly
- Results incorporated in pathways for heavy duty vehicles, marine sector, bioproducts

4. Regular communication

 Mitigates risk associated with data handoffs to/from other project teams

Quarter	Milestone Title	Date
Quarterly FY21Q1	Scope, data, and method for comparison of CO ₂ sources for algae production including direct air capture	12/31/20
Quarterly FY21Q2	Results for LCA of saline strain algae production	3/31/21
Quarterly FY21Q3	Draft results for algae cultivation with CO ₂ from direct air capture	6/30/21
Annual FY21Q4	Report on comparison of CO ₂ sources for algae production	9/30/21
Annual FY22Q4	LCA of algae bioproduct pathways.	9/30/22
Annual FY22Q4	LCA of integration of algae cultivation with wastewater and manure treatment systems	9/30/23





Challenges, Risks, and Mitigation Strategies

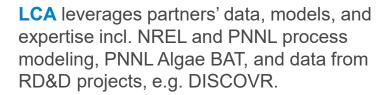
Challenges and Risks



Data/information gaps affect the credibility of LCA results



Risk Mitigation Strategy





Delays in data handoffs between project teams and/or numerous iterations affect schedule and deliverable quality



LCA team communicates regularly to coordinate handoffs between Algae BAT (PNNL), Algae Farm Model (NREL), conversion process modeling (NREL & PNNL), and LCA. LCA framework automated to facilitate rapid updating.



Analysis becomes disconnected from evolving industry directions



LCA team strategically engages with partners incl. through non-disclosure agreements, parallel projects, and industry conferences, e.g. Global Algae, Hytek Bio, U Maryland, WUSL, & ABO.



GREET Model Framework

Greenhouse Gases, Regulated Emissions, & Energy Use (GREET 2 Series) in Technologies Argonne has been developing the GREET life-cycle analysis (LCA) model since 1995 with annual updates and expansions Vehicle cycle GREET is available at greet.es.anl.gov GREET Stochastic Carbon Calculator for Land Use Change model from Biofuels (CCLUB) Simulation Tool **GREET 1:** Fuel-cycle (or well-to-wheels, WTW) model FUEL CYCLE (GREET 1 Series) WELL TO PUMP

Biofuels in GREET can be connected with the full range of end use sectors



Share of US transportation GHG emissions: remaining 12% for US is from pipelines and offroad.





Metrics for GREET Microalgae Life Cycle Analysis

Greenhouse Gases

Carbon dioxide
Methane
Nitrous Oxide
Black carbon
Albedo

Characterized by global warming potential (CO₂-eq.) based on IPCC AR5.

Water Consumption

Withdrawals less local releases

AWARE US model estimates regional and seasonal water stress

(With EJ implications)

Criteria Air Pollutants

Volatile Organic Compounds (VOCs) Carbon Monoxide (CO) Nitrogen Oxides (NO_x) Particulates (PM_{2.5}, PM₁₀) Sulfur Oxides (SO_x)

Distinguished as Urban and Non-Urban

(with EJ implications)

Energy Use

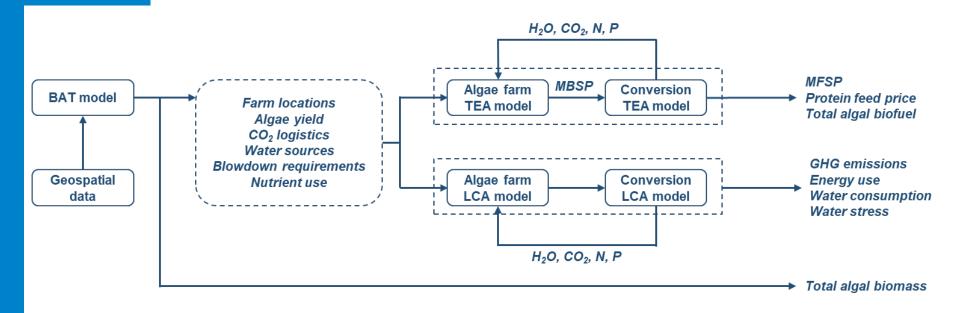
Total energy incl. fossil and renewable

- petroleum
- natural gas
- coal
- biomass
- nuclear
- hydro
- wind
- solar





Harmonization Analysis Data Flows Across Models



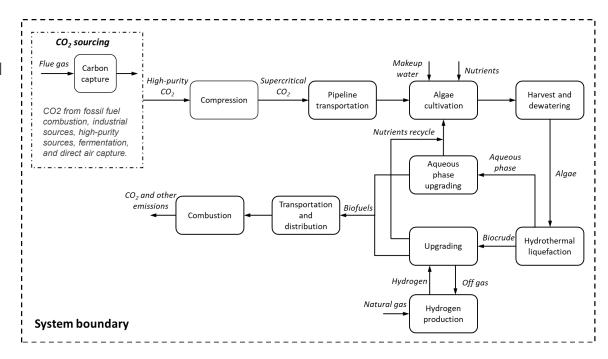
Close collaboration across PNNL Algae Biomass Availability Tool, NREL Algae Farm Model, NREL & PNNL Aspen conversion process models, and Argonne GREET modeling





Harmonized LCA across full range of CO₂ sources for algae cultivation

- Harmonized modeling of current and future CO₂ capture and compression
 - Integrated Environmental Control Model (CMU).
 - NETL TEAs
 - GREET CO2U models
- Address multiple allocation/system expansion approaches
 - Cutoff approach
 - NETL CO2U Guidance (system expansion)
 - Shared benefit/allocation
- Results build consensus
 - Importance of distinguishing biogenic and fossil CO₂ sources
 - Implications of allocation/system expansion approaches.







AWARE US for Water Stress Impact Analysis

- Impact of water consumption varies by location
 - Depending on regional supply (runoff) and demand (ecosystems and existing societal demand)
- AWARE-US characterizes water stress by county
 - CF<1: water abundant
 - CF>1: water stress (compared to US average)

Water scarcity footprint (WSF) (m³ eq.)

= [Water consumption]_i $(m^3) \times [AWARE CF]_i$

CF: characterization factor

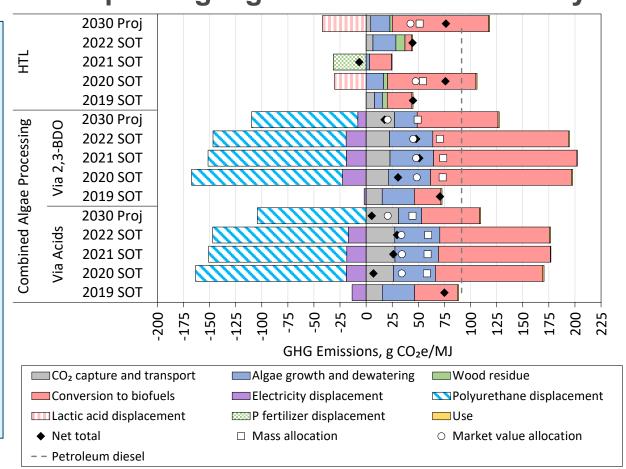
Lee et al. (2019) AWARE-US: Quantifying Water Stress Impacts of Energy Systems in the United States
Xu et al. (2019) Assessment of Algal Biofuel Resource Potential in the United States with Consideration of Regional Water Stress
Xu et al. (2020) Balancing Water Sustainability and Productivity Objectives in Microalgae Cultivation: Siting Open Ponds by
Considering Seasonal Water-Stress Impact Using AWARE-US



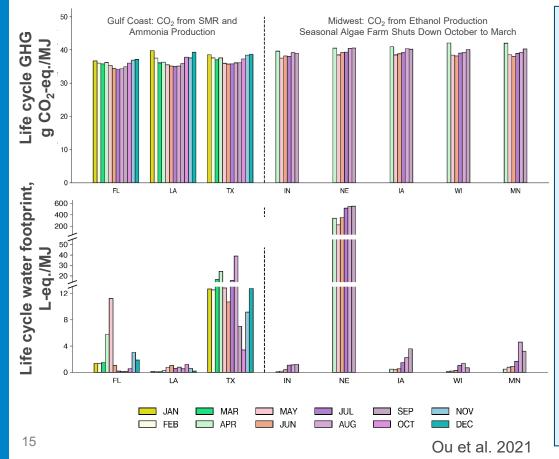


Supply chain sustainability analyses identify opportunities for improving algal biofuel sustainability

- Displacement credits for co-products significantly affect results, particularly for polyurethane.
- Improvements in algal productivity reduce life cycle GHGs in 2030.
- New analysis provides perspectives for subprocess mass- and market-based allocation, in addition to system expansion results.



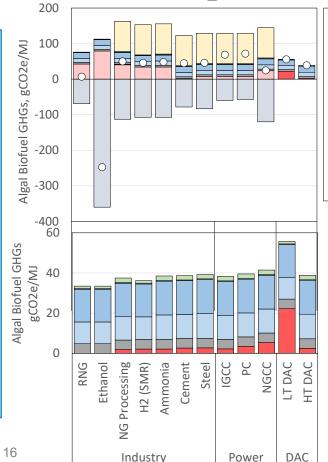
High-purity CO₂ from Midwest ethanol production could enable seasonal algae farming



- GHGs for Midwest comparable to southern sites April-September.
- Sites with high-purity CO₂ perform better than favorable conditions in Florida with combustion CO₂ source.
- High-purity CO₂ from ethanol production in Midwest and from ammonia and steam methane reforming in Texas and Louisiana.
- Some Midwest sites have favorable water availability compared with Texas and Florida.
 - Nebraska illustrates importance of water stress screening.

Building consensus around the GHG impact of using fossil, biogenic, or direct capture CO₂ for algae farming

- The CO₂ source used for algae production affects GHG emissions and the contribution of algal biofuels to decarbonization.
- System expansion (top) considers the CO₂ providing process and distinguishes atmospheric/biogenic & fossil CO₂ sources.
 - Lower GHG results for atmospheric CO₂.
 - Higher GHG results for fossil CO₂.
 - DAC promising for future.
- The 'cut off' approach (bottom) illustrates effect of purity of CO₂ sources on life cycle GHG emissions.
- Results helping bridge a "gap" between past BETO algae LCA and NETL CO₂U LCA Guidance.



□ Displacement Credit
□ CO2 Providing Process
□ CO2 Capture
□ CO2 Compression
□ Algae Cultivation
□ Fuel Production
□ Fuel Distribution
□ Infrastructure
□ Fuel Use
○ Net

Singh et al. (2023) 'Implications of CO₂ sourcing on the costs and global warming potential of algal biofuels.' Sustainable Chemistry and Engineering. In review.



BETO Algae Analysis Harmonization Report

Tradeoffs for Saline Algae

Saline algae cultivation avoids freshwater stress with tradeoffs for energy use and GHG emissions.

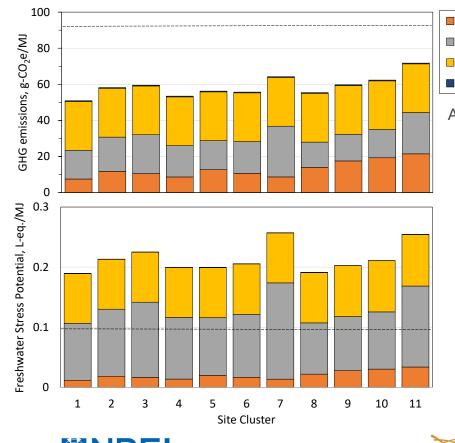
Benefits of Biogenic CO₂ and Direct Air Capture

Algal cultivation using biogenic and direct air capture CO₂ sources is consistent with long-term decarbonization goals.

Challenges for biofuels from high-protein algae

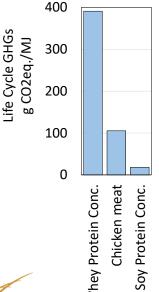
LCA results highly dependent on coproduct or allocation assumption.







Algal protein conc. net credit for displacing alternatives

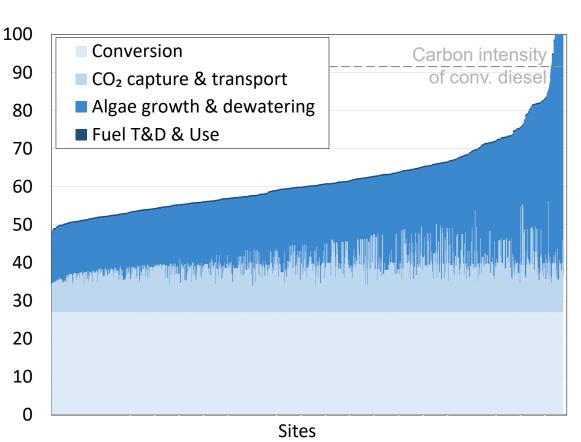






Cultivating in saline water reduces water stress with GHG tradeoffs

- Significant variation in energy use and GHG across sites.
- Saline aquifer pumping energy and brine management operations tradeoff for avoiding freshwater consumption.
- Finalizing manuscript highlighting "deep dive" high-protein, saline algae analysis.





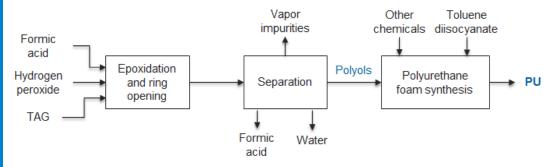


Cycle GHG Emissions, gCO₂/MJ

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LCA of Algal Polyurethane Pathways

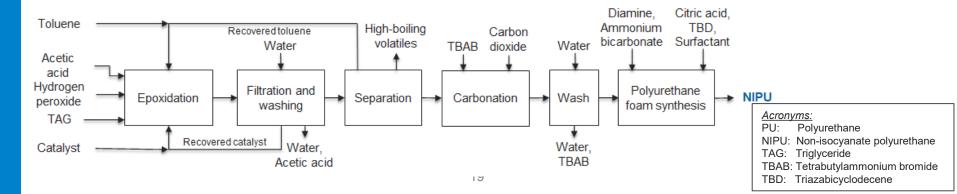
Conventional polyol/polyurethane production from algal-triglycerides



Analysis leveraging process models developed by NREL TEA team.

LCA in collaboration with Northwestern University through DOE ResIn project.

Production of algae-derived non-isocyanate polyurethane



3. Impact

LCA contributes to achieving BETO's Advanced Algal Systems goals

Value Proposition of Algal Biofuels/products

- Comprehensively addressing effects of system designs.
- Effects of integration, co-location, and product mix.
- Residual materials can displace energy intensive fertilizers.

Building consensus around benefits.

- Harmonization across BETO and DOE analysis.
- Publicly-available, transparent models accepted by industry.
- Results directly comparable to those for other technologies.

Publishing impactful results.

- Informing BETO of algal biofuels' contribution to biofuel and decarbonization targets.
- Steady track record of peer reviewed publications.

Data and models distributed in GREET releases.

- Interactions with stakeholders around key parameters and industry developments.
- Feeds into influential policies. Over 50,000 users worldwide.

- Benchmarking and tracking R&D progress of pathways to produce low-carbon, sustainable algal biomass.
- Providing comparable, transparent, and reproducible LCA for algal fuel and product pathways.
- Screening algal systems, feedback to BETO R&D and commercialization/scale up decisions.

Disseminating Key Results

Informing stakeholders through peer-reviewed journals, conference presentations, reports, and direct interactions.

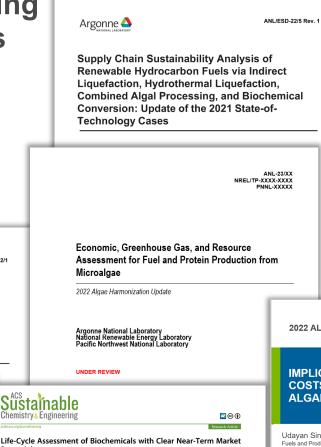


feed resource. Here we evaluate the water use associated with

freshwater algae cultivation and find it is possible to scale U.S. algae

ANL/ESIA-22/1

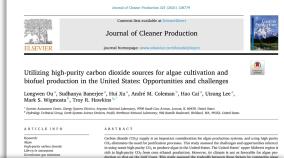
Summary of Expansions and Updates in GREET® 2022





ANL/ESD-20/1

Summary and Instructions for Monthly AWARE-US Model



2022 ALGAE BIOMASS SUMMIT



IMPLICATIONS OF CO₂ SOURCING ON THE COSTS AND LIFE-CYCLE GREENHOUSE GAS EMISSIONS OF ALGAE BIOFUELS

Udayan Singh, <u>Sudhanya</u> Banerjee, Troy Hawkins Fuels and Products Group Argonne National Laboratory

10/10/2022

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| ARSTRACT. Microalgae have great potential as an energy and | Proferential is the solution | Proferent

ABSTRACT: The urgent need for greenhouse gas (GHG) emission reductions to mitigate climate change calls for accelerated biorefunery development and biochemical deployment to the market as structural or functional replacements for chemicals produced from fossil-derived feeddocks. This study evaluated the needs and of the control of the

Cite This: ACS Sustainable Chem. Eng. 2023, 11, 2773–2783

Ind Metrics & More

Chao Liang, Ulises R. Gracida-Alvarez, Troy R. Hawkins,* and Jennifer B. Dunn*

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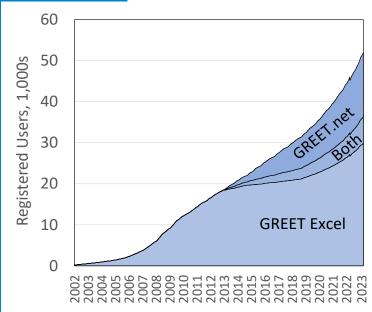
Article Recommendations

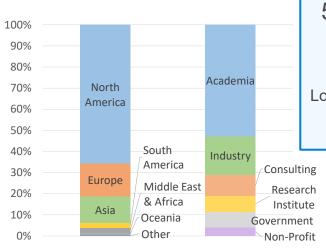


Supporting Information

53:11 (UTC). ublished articles

Models disseminated through GREET releases







Used for Low Carbon Fuel Standard and Renewable Fuel Standard pathway analyses





























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Institute of Technology

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California Environmental Protection Agency

⊘ Air Resources Board









GREET Informs Policy and Regulation

California Environmental Protection Agency **O** Air Resources Board



















- California-GREET is an adaptation of Argonne's GREET model
- Specified in Inflation Reduction Act related to the Clean Fuel Production **Credit** and the **Clean Hydrogen Credit**
- **U.S. EPA** uses GREET with other sources for **Renewable Fuels Standard** pathway evaluations
- Oregon Clean Fuels Program also uses an adaptation of Argonne's GREET model
- National Highway Traffic Safety Administration for fuel economy regulation
- Federal Aviation Administration and International Civil Aviation **Organization** using GREET to evaluate aviation fuel pathways
- U.S. Maritime Administration renewable marine fuel options for IMO 2020 sulfur limits
- U.S. Dept. of Agriculture bioenergy LCA and carbon intensity of farming practices
- Canadian Clean Fuel Standard for Environment and Climate Change Canada fuel pathways

Summary

Overview

 Quantify life-cycle energy and environmental metrics for strategic algal biofuel and bioproduct systems.

Approach

 Focused on key questions. Clear objectives, strong team, organized task structure, well defined milestones, regular communication.

Harmonization across BETO algal systems analysis efforts, interacting with PNNL, NREL,

LCA considering a wide range of CO₂ sources reveals biogenic and direct air capture CO₂

Incorporating new models to address key aspects of algal pathways.

Impact

Outcomes

- Presenting value proposition for algal biofuel and bioproduct technologies. Building consensus around the GHG and other environmental impacts of algal biofuels.
- Publishing peer reviewed journal articles, engaging with stakeholders.
- Data and models distributed publicly in annual GREET releases.

INL, and NETL to harmonize and leverage efforts.

- Contributions to BETO algal biofuel pathway benchmarking via supply chain sustainability analyses.
- more consistent with decarbonization than fossil CO2.
- LCA of tradeoffs of using high-purity CO₂ in Midwest and Gulf Coast locations.
- Completed LCA for BETO algae harmonization analysis and prepared report.
- Performed detailed LCA of saline algae production systems and protein coproducts.
- **Progress &**

Quad Chart Overview

LCA of Advanced Algal Systems

Timeline

Oct. 1, 2020 – Sep. 30, 2023

	FY22	Total Award
DOE Funding	\$200,000	\$700,000
Cost Share	N/A	N/A

Project Partners

- M. Wigmosta, A. Coleman, PNNL: Algae Resource Analysis (1.3.5.203)
- R. Davis, NREL: Algae Technoeconomic Analysis (1.3.5.200)
- L. Snowden-Swan, PNNL: HTL Technoeconomic Analysis
- T. Skone, NETL: FECM CO₂ Utilization
- D. Hazlebeck, Global Algae

Project Goal

(1) Inform R&D decisions by BETO and its stakeholders with detailed energy and environmental LCA of advanced algal systems and strategic case studies
(2) Provide LCA results and datasets for key algae production and conversion pathways to benchmark the state of technologies and evaluate the relative performance of alternative designs and algae R&D advancements.

End of Project Milestone

Reports on LCA results for saline algae, CO₂ sources, algal bioproduct, and integration with wastewater/manure management. Models/data in annual GREET releases.

Funding Mechanism

BETO FY2020 Lab Call (Annual Operating Plan)





Publications

Peer reviewed reports and articles

- Ou L, Banerjee S, Xu H, Coleman AM, Cai H, Lee U, Wigmosta MS, Hawkins TR. 'Utilizing High-Purity CO₂ Sources for Algae Cultivation and Biofuel Production in the United States: Opportunities and Challenges.' *J of Cleaner Production.* **2021**. 321. 128779. https://doi.org/10.1016/j.jclepro.2021.128779
- Singh U, Banerjee S, Hawkins TR. 'Implications of CO₂ Sourcing on the Costs and Global Warming Potential of Microalgal Biofuels.' *Sustainable Chemistry & Engineering.* **2023**. In review.
- Cai H, Ou L, Wang M, Davis R, Dutta A, Harris K, Wiatrowski M, Tan E, Bartling A, Klein B, Hartley D, Burli P, Lin Y, Roni M, Thompson D, Snowden-Swan L, Zhu Y, Li S. 'Supply Chain Sustainability Analysis of Renewable Hydrocarbon Fuels via Indirect Liquefaction, Hydrothermal Liquefaction, Combined Algal Processing, and Biochemical Conversion: Update of the 2021 State-of-Technology Cases.' Argonne National Laboratory. Lemont, Illinois. 2022. ANL/ESD-22/5.
- Liang C, Gracida U, Hawkins TR, Dunn JB. 'Life-Cycle Assessment of Biochemicals with Clear Near-Term Market Potential.' *Sustainable Chemistry & Engineering.* **2023**. 11(7) 2773-2783. https://doi.org/10.1021/acssuschemeng.2c05764
- Zhang J, Zhu Y, Coleman AM, Klein B, Singh U, Ou L, Hawkins TR. 'County-Level LCA of Saline Microalgal Biofuel and Bioproducts via Hydrothermal Liquefaction.' For submission in FY23.





Presentations

Disseminating results to stakeholders

- Singh U, Banerjee S, Hawkins TR. 'Implications of CO2 Sourcing on the Costs and Life-Cycle Greenhouse Gas Emissions of Algal Biofuels.' Presented at the 2022 Algae Biomass Summit. (Virtual) and the 2022 American Geophysical Union Fall Meeting, Chicago, Illinois.
- Singh U, Banerjee S, Hawkins TR. 'Environmental and economic Efficacy of Algal Biofuels as a CO2 Capture and Utilization Strategy.' 2022 Informs Annual Meeting. Indianapolis, Indiana.
- Banerjee S, Xu H, Coleman A, Yan H, Wigmosta M, Hawkins TR. 'Assessment of the Energy Use, Greenhouse Gas Emissions, and Water Stress Associated with Saline Algae Cultivation and Conversion in the United States.' 2021 Algae Biomass Summit. (Virtual).
- Banerjee S, Ou L, Xu H, Coleman A, Cai H, Lee U, Wigmosta M, Hawkins TR. 'Assessment of the Energy Use, Greenhouse Gas Emissions, and Water Stress Associated with Growing Algae Using High-Purity Carbon Dioxide Sources in the Midwest and Along the Gulf Coast of the United States.' 2020 Algae Biomass Summit. San Diego, California.
- Hawkins TR, Elgowainy A, Lee U, Benavides PT, Cai H, Zang G, Sun P, Banerjee S, Xu H, Wang M. 'Bioproducts, Algae Pathways, and CO2 Utilization in the GREET Model. U.S. Dept. of Energy, Office of Fossil Energy and Carbon Management and Other Stakeholders.
- Hawkins TR, Cai H. 'GREET Life Cycle Analysis of Bioenergy Technologies.' 2022 GREET User Workshop. Lemont, Illinois.





Responses to Selected 2021 Peer Review Comments

2021 Peer Review Comment

Response

How often the project team is meeting is not clear, and risk and mitigation strategies do not appear to be addressed.

. . .

The approach described seems to lack a technical validation component where assumptions can be tested, and modeled results can be verified.

We thank the reviewers for recognizing the value of this work. It is very nice to hear that it is an essential part of the program and that the products have clear value for a broad range of consumers. The reviewer's point about better describing the potential risks and mitigation strategies is well taken, and as mentioned, validation of our models is a key risk that we have taken steps to mitigate. The team meets weekly to discuss progress and troubleshoot issues that arise. The Argonne team works closely with PNNL's algae resource assessment and hydrothermal liquefaction conversion TEA efforts and NREL's algae farm and combined algal processing TEA projects to leverage data and model outputs across the teams. The beauty of the depth of analysis happening across the BETO portfolio and the coordination amongst the projects is that values that have been deeply vetted in the context of one effort can be leveraged for another. Both PNNL and NREL have been engaged with us on the LCA of saline algae production. Outside of this project, many of the same researchers collaborate through BETO's annual SOT and target case benchmarking efforts. We also regularly review the literature to vet the values used in our analyses against those reported in outside studies. We have found that the interaction of LCA with other process modeling, TEA, and resource assessment efforts provides natural opportunities for quality assurance across projects. Finally, Task 5 in our project is specifically dedicated to harmonization across BETO's TEA. LCA, and resource assessment projects. In addition, the Argonne team has engaged with an industry partner to collaborate on the FY 2022 analysis of algae products and who will also provide field measurements. In parallel, Argonne staff are also participating in another algae technology development project led by University of Maryland and funded by the Office of Fossil Energy, which provides valuable additional perspectives to this effort.



Responses to Selected 2021 Peer Review Comments

2021 Peer Review Comment

Response

How often the project team is meeting is not clear, and risk and mitigation strategies do not appear to be addressed. Another risk we have encountered is schedule slip, specifically associated with data hand-offs across labs. We have mitigated this risk through close communication and staged data handoffs to allow us to have the analysis routines in place in advance. Along with schedule slip is the risk of working inefficiently, which we have mitigated by having parallel tracks in the analysis so one can always be advanced if the other is on hold. We appreciate the reviewers' positive response to our work and will continue to strive to produce rigorous analyses useful for informing research and development of advanced algal systems and, as collateral, tools that make the analyses transparent and allow others to replicate and extend the results.



Project Timeline

